

**Doctoral Degree Regulations
for the Faculty of Economics, Law and Social Sciences
of the University of Erfurt**

Non-legally binding English translation of the German version from 21 August 2019

Please note:

The formal issuing of the regulation comes into effect with the signature of the President. The date of issuing is stated under the heading. The header of the document also states the date of official publication and the registration number of the promulgation gazette of the University of Erfurt on these regulations.

The statute is to be cited as follows:

[Title of the regulations] in the version from [date of issuing], (VerkBl. UE RegNr. ____)

**The presentation of these regulations as a PDF file in the WWW is in addition to their official
publication in the promulgation gazette of the University of Erfurt**

Doctoral Degree Regulations
for the Faculty of Economics, Law and Social Sciences
of the University of Erfurt
in the version of 21 August 2019

Pursuant to §§ 3 (2) and 35 (1) no. 1 of the Thuringian Higher Education Act (ThürHG) of 10 May 2018 (GVBl. p. 149), as last amended by Article 128 of the Act of 18 December 2018 (GVBl. p. 731), in conjunction with § 4 of the Basic Regulations of the University of Erfurt (GO) of 6 March 2019 (Thüringer Staatsanzeiger No. 13/2019, p. 90) the University of Erfurt issues the following doctoral regulations for the Faculty of Economics, Law and Social Sciences; the Faculty Council of the Faculty of Economics, Law and Social Sciences adopted these statutes on 15 May 2019.

Per its issuing, it was approved by the President of the University of Erfurt.

Part 1:
Award of a doctorate through doctoral examinations

§ 1

Doctoral degree

For successful doctoral accomplishments, the University of Erfurt awards through the Faculty of Economics, Law and Social Sciences the degrees of a Doctor of Law (Dr. jur.), of a Doctor of Social Sciences (Dr. rer. pol.) and of a Doctor of Economics (Dr. rer. pol.).

§ 2

Doctoral examinations

The doctoral accomplishments shall demonstrate the ability to carry out in-depth scientific work. They consist of a written work (dissertation, § 10) and an oral examination (disputation, § 15).

§ 3

Eligibility for examination

Eligible as examiners are the university professors and the postdoctoral members and associates of the faculty, as well as examiners appointed by the Doctoral Affairs Committee on an individual case basis or for a specific period of time, who generally have to hold a postdoctoral lecturer qualification.

§ 4

Doctoral Affairs Committee

(1) The Doctoral Affairs Committee shall, unless the Examination Board (§9) is responsible, lead the procedure resulting in the doctoral degree.

(2) The Doctoral Affairs Committee consists of the Dean as Chairperson, who can delegate this task to the Vice Dean, as well as three other members or associates of the faculty who are eligible as examiners. At least two of the other members have to be university professors. If only two of the other members are university professors as defined above, the third member must be a research associate with a doctoral degree. As a rule, each discipline of the faculty should be represented.

(3) The Doctoral Affairs Committee is elected by the Faculty Council for the duration of three years.

(4) Decisions of the Doctoral Affairs Committee require a majority vote by its members. The right to vote cannot be transferred. The Doctoral Affairs Committee may decide by written circulation procedure if no member objects. If a vote in the Doctoral Affairs Committee results in a tie, and a new vote on the same subject also results in a tie, the Chairperson has two votes.

(5) The President shall decide on appeals against decisions of the official doctoral bodies after having heard the respective responsible body (Doctoral Affairs Committee, Examination Board, Dean).

§ 5

Acceptance as doctoral candidate

(1) The initiation of the doctoral examinations procedure (§ 8) requires acceptance as a doctoral candidate.

(2) The university accepts doctoral candidates

- (a) who have completed their studies in the field of economics, law or social sciences at a German University or an equivalent institution of higher education, respectively, or at a German university of applied sciences, with a master's degree, a Magister or a Diplom degree, a state examination (Staatsexamen), or an equivalent degree from a foreign institution of higher education (requirement of examination);
- (b) who have passed the examination referred to in point (a) with at least the grade "good" or equivalent (2.5 or better in the German grading system) or the first or second state examination in law with at least the grade "fully satisfactory" (quality requirement),
- (c) who are planning a dissertation whose subject belongs to the field of study of the completed degree and also fits into one of the research areas of the faculty (requirement of compatibility),
- (d) who present a proposal for the dissertation which justifies the expectation that the objective referred to in § 10 will be achieved (forecasting requirement),
- (e) who present a written agreement of supervision with one or more of the authorised examiners (§ 3) regarding the willingness to supervise the dissertation. Further details are outlined in the implementing provisions passed by the Faculty Council.

The requirements according to cl. 1 point (c) and (d) must be confirmed through a written statement by an authorised examiner.

(3) The university will not accept applicants as doctoral candidates who have already tried and failed to obtain a doctorate more than once, who had their doctoral degree revoked in proceedings under the rule of law, or who had to abandon a previous doctoral examinations procedure due to attempt of deception.

(4) If the applicant's degree is not in the field of economics, law or social sciences as specified in par. 2 cl. 1 point (a), or if the applicant plans a dissertation in another field of study than their previous degree, deviating from par. 2 cl. 1 point (c), the Doctoral Affairs Committee decides about the application based on the advisory statements of two authorised examiners. If the candidate fails to fulfill the quality requirement specified in par. 2 cl. 1 point (c) and only received the overall grade "satisfactory" (3.5 or better), the Doctoral Affairs Committee decides about the application based on advisory statements of two authorised examiners. One of these two authorised examiners can be the authorised examiner according to par. 2 cl. 3, or the authorised examiner according to par. 4 cl. 1, respectively.

(5) Acceptance as a doctoral candidate may be subject to conditions. In particular, the Doctoral Affairs Committee may require the doctoral candidate to complete two seminars at a university which must be directly related to the intended research area and focus of the doctoral dissertation, to serve as proof that the doctoral candidate is proficient in the theoretical and empirical foundations of their doctoral subject. For this purpose, the approving

authorised examiner (par. 2 cl. 3) and, where appropriate, the exempting authorised examiners (par. 4) may come forward with proposals. The conditions will be communicated to the doctoral candidate in writing together with the notification of acceptance (§ 6 par. 3).

§ 6

Procedure of Acceptance

(1) Applicants may apply as doctoral candidates with the Chairperson of the Doctoral Affairs Committee. The application must include all documents necessary to prove fulfillment of the acceptance requirements specified in § 5 par. 2. Additionally, applicants must submit a curriculum vitae and a written declaration on whether there may be any reason for rejection according to § 5 par. 3.

(2) To decide about the equivalence of degrees issued by institutions of higher education abroad referred to in § 5 par. 2 cl. 1 point (a) and (b), the Doctoral Affairs Committee may request an expert opinion by the “Zentralstelle für Ausländisches Bildungswesen”.

(3) The Doctoral Affairs Committee communicates its decision on the application by means of an official written notification within 8 weeks of the application being submitted. The expiry of this period does not result in acceptance as a doctoral candidate. The Doctoral Affairs Committee appoints as supervisors the authorised examiners who provided the written declaration according to § 5 par. 2 point (e) s. A notice of rejection shall be supplemented by information on legal remedies available.

§ 7

Status of doctoral candidate

(1) Upon admittance as a doctoral candidate, the faculty agrees to provide the doctoral candidate with access to its research facilities, to supervise the doctoral candidate and to evaluate their submitted dissertation.

(2) The status of doctoral candidate expires after five years, as long as the doctoral candidate does not submit an extension request before this deadline. The extension request requires an updated forecast according to § 5 par. 2 cl. 1 point (d). The Doctoral Affairs Committee will then decide on the extension request.

§ 8

Initiation of the doctoral examinations procedure

(1) The doctoral examination procedure shall be initiated when the following documents have been submitted:

- six copies of the dissertation, which shall meet the requirements specified in Appendix 1,
- a written declaration by the doctoral candidate that they have written the dissertation on their own, have used only the resources stated in the dissertation and identified all parts taken from external sources, either verbatim or in substance, including from their own previous work (publications and qualification work) (Appendix 6),
- if applicable, a declaration by the doctoral candidate confirmed by their co-authors, that they have completed independently the sections of their dissertation indicated (Appendix 7),
- a written declaration by the doctoral candidate confirming that neither the dissertation nor parts of it have been submitted previously to an institution of higher education for the attainment of a doctoral degree and whether the dissertation or parts of it have been subject to other examination procedures (Appendix 8),
- an updated curriculum vitae of the doctoral candidate including an account of their further studies and research activities,

- an official criminal record and,
- if applicable, proof of the fulfillment of the conditions stated in the letter of acceptance.

(2) The doctoral candidate shall request the initiation of the doctoral examinations procedure with the Chairperson of the Doctoral Affairs Committee. The documents specified in par. 1 shall be submitted with the request. The doctoral student may propose reviewers for the dissertation.

(3) The Doctoral Affairs Committee decides about the request. If it approves it, it simultaneously commissions the Examination Board (§ 9) and the reviewers (§ 10). The Chairperson of the Examination Board communicates this decision to the doctoral candidate. A notice disapproving the initiation of the doctoral examinations procedure shall be supplemented by information on legal remedies available.

(4) Once the request to initiate the doctoral examinations procedure is approved, it can only be revoked with approval of the Doctoral Affairs Committee. The effective revocation terminates the procedure immediately.

§ 9

Examination Board

(1) For each doctoral examinations procedure, an Examination Board is constituted. It evaluates the doctoral accomplishments.

(2) The Examination Board is commissioned by the Doctoral Affairs Committee when it grants the initiation of the doctoral examinations procedure.

(3) The following members with voting power constitute the Examination Board:

- the reviewers according to § 11,
- another university professor or lecturer/research associate with a doctoral degree

The Examination Board is chaired by one of the reviewers. Yet another university professor shall be part of the Examination Board as a deputy.

(4) A decision of the Examination Board requires the majority of its members with voting power. The voting power cannot be transferred to someone else. The quorum of the Examination Board is met when all members have been invited and are present. If a first vote of the Examination Board results in a tied vote and a second vote on the same matter also results in a tied vote, the Chairperson has two votes.

§ 10

Dissertation

(1) The dissertation is an academic treatise. It addresses an academic research question and makes a significant contribution in helping to answer it. It should lead to new insights. It must be completed independently by the doctoral candidate. It should be written in either German or English. With the approval of the supervisor, the Doctoral Affairs Committee can also permit other languages.

(2) A cumulative dissertation must consist of at least 3 journal papers, at least one of which must have been written by the doctoral candidate as a single author. The papers shall be submitted with a joint introduction.

(3) If the doctoral candidate submits as part of their dissertation a treatise written jointly with co-authors (§ 10 par. 1) or a journal paper written jointly with co-authors (§ 10 par. 2), the contribution of the doctoral candidate

must be indicated clearly, allowing for it to be graded independently, and it must fulfill the same standards as an individual dissertation.

§ 11

Reviewers

(1) Upon initiating the doctoral examinations procedure, the Doctoral Affairs Committee commissions at least two reviewers, including the supervising authorised examiners according to § 5 par. 2 point (e). Two of the reviewers cannot be co-authors of any parts of the dissertation.

(2) The Doctoral Affairs Committee commissions another reviewer, if one of two reviewers grades the dissertation as 'insufficienter'. In addition, the Doctoral Affairs Committee can commission another reviewer especially for the following reasons:

1. if the grades based on the submitted reviews differ by more than one grade,
2. anytime upon recommendation of a reviewer.

§ 12

Review of the dissertation

(1) Every examiner commissioned according to § 11 shall submit to the Examination Committee a written evaluation of the dissertation within three months, which shall also comment on whether the dissertation satisfies the requirements stated in § 10 and propose a grade (according to § 16 par. 1). Account should be taken of the fact that the insights presented in the dissertation could be ascribed to external sources or own preparatory work (publications and qualification works), as well as the doctoral students' own papers in the sense of § 8 par. 1. 2. and 3. indent.

(2) Every faculty member eligible as examiner is eligible to submit a written vote on the dissertation within the displaying period according to § 13 par. 1. The vote must be submitted to the Doctoral Affairs Committee, which forwards a copy to the Examination Committee and the doctoral student. Written votes may be considered by the Examination Committee in its decision on the dissertation (§ 14). In this case, the Examination Committee must justify the decision and notify the doctoral student in written form about its decision.

§ 13

Display of the dissertation and the evaluations

(1) After the submission of the evaluations according to § 11, the dissertation and the evaluations shall be displayed for reviewing for two weeks, in the lecture-free period for six weeks, in the Dean's office. Every faculty member eligible as examiner is eligible to review the dissertation and the evaluations. The Dean's office communicates through a mailing list (circular letter) to all members eligible as examiners the time period during which the dissertation and the assessments are being displayed. The displaying period begins on the day after the dispatch of the circular letter. If written votes are submitted during the displaying period according to § 12 par. 2, their displaying will be communicated separately. If the Doctoral Affairs Committee commissions more reviewers, the dissertation and the evaluations shall be displayed again.

(2) The Dean's office communicates in written form to the doctoral student the time period during which the dissertation and the evaluations are being displayed, and provides them with copies of the evaluations.

§ 14

Acceptance and rejection of the dissertation

- (1) After the period for the display of the dissertation and evaluations ends, the Examination Committee shall decide within four weeks on the acceptance of the dissertation. If all reviewers commissioned according to § 11 unanimously confirm or deny in their evaluations according to § 12 par. 1 that the dissertation meets the standards according to § 10, and no deviating vote according to § 12 par. 2 was submitted, no separate decision by the Examination Committee is necessary on the acceptance of the dissertation. In this case, the Chairperson of the Examination Committee finalises the acceptance or rejection of the dissertation.
- (2) If the dissertation is rejected, the Doctoral Affairs Committee terminates the doctoral examinations procedure through a written official notice of rejection addressed at the doctoral candidate and supplemented by information on legal remedies available. A copy of the rejected dissertation shall remain with the files (i.e. archived).
- (3) The Examination Committee may issue terms for the publication of the accepted dissertation (§ 18). The doctoral candidate may revise their dissertation after the disputation before the publication (§ 18 par. 2) in consideration of the evaluations.
- (4) Instead of deciding on the acceptance or rejection of the dissertation, the Examination Committee may return the dissertation once for revision, subject to conditions. A revision is subject to a deadline of twelve months past the date of the return of the dissertation. A revised dissertation submitted within the deadline is to be treated according to §§ 12 and 13. If doctoral candidates do not make use of their right to revise or do not submit the revised dissertation in time, the dissertation is rejected.

§ 15

Disputation

- (1) The disputation of the dissertation is the thesis defense, which happens in public. The dissertation may be defended in the language in which it was written.
- (2) After the dissertation is accepted according to § 14, the Chairperson of the Examination Committee invites the doctoral candidate and the members of the Examination Committee to the disputation. The disputation should take place within six weeks after the displaying period of the evaluations, unless lecture free periods require a later deadline. The date will be announced publicly.
- (3) At least two weeks before the date of the disputation the doctoral candidate must submit to the Chairperson of the Examination Committee hypotheses for the dissertation. The Chairperson of the Examination Committee shall immediately forward copies of these to the other members of the Examination Committee.
- (4) The disputation shall start with a presentation by the doctoral candidate of about 20 minutes. It shall follow a scientific discussion of about an hour on the dissertation. Faculty members with a doctoral degree who are not part of the Examination Committee may partake in the discussion.
- (5) The Chairperson of the Examination Committee shall minute the disputation. The minutes shall include the names of the members of the Examination Committee, the duration of the disputation, the subjects of discussion, the grading by the members of the Examination Committee, as well as the resulting final grade (§ 16 par. 3).
- (6) If failed, the disputation may be repeated once, generally within six weeks.

§ 16

Grading of the doctoral examinations

(1) The assessment of the doctoral degree is represented by a grade and an according numerical value:

- summa cum laude	0
- magna cum laude	1
- cum laude	2
- rite	3
- insufficienter	4

(2) The grade for the dissertation generally results from the mean value of the grades given by the reviewers commissioned by the Examination Committee. The grade will be reported on the diploma with two decimal places, all further decimal places being discarded without rounding. Deviating decisions by the Examination Committee may be admissible, if the evaluations suggest divergent grades. Deviating decisions must be justified by the Examination Committee.

(3) The grade for the disputation generally results from the mean value of the grades given by the members of the Examination Committee eligible to vote. The grade will be reported on the diploma with two decimal places, all further decimal places being discarded without rounding.

(4) The doctoral degree is awarded if the dissertation is accepted according to § 14 and the disputation is graded with at least 3.50.

(5) The overall grade for the doctoral degree is determined as per the numerical values in par. 1, whereby the grade for the written dissertation is weighed twice and the grade for the disputation is single weighted. A decimal value up to and including 0.50 will be rounded to the next higher grade. Otherwise, grades will be rounded down to the next lower grade.

(6) The Chairperson of the Examination Committee will communicate the outcome of the doctorate, along with individual grades and the overall grade, to the doctoral candidate following the disputation.

§ 17

Invalidity of the doctoral degree

(1) If, before issuing the diploma, it is uncovered that the doctoral candidate committed a deception during the doctoral procedure, the Examination Committee declares all credentials acquired to date as invalid and terminates the doctoral examinations procedure.

(2) If the deception is uncovered only after the diploma is issued, the doctoral degree may be declared as failed retrospectively. This decision lies with the Examination Committee.

(3) If the requirements for admission as a doctoral candidate were not met, without it being the doctoral candidate's intention to deceive, and if this is uncovered only after the diploma is issued, successfully passing the doctoral examinations compensates for the insufficient fulfillment of the admission requirements.

(4) If the doctoral degree is declared as failed according to par. 2 cl. 2, the doctoral diploma must be retracted. Such a decision is only possible within five years after the date on the doctoral diploma.

(5) The doctoral degree shall be retracted according to § 58 par. 7 ThürHG if the holder proves themselves unworthy of carrying the degree. The decision about the retraction lies with the Doctoral Affairs Committee.

§ 18

Publication of the dissertation

(1) After passing the disputation, the doctoral candidate shall submit within one year obligatory copies free of charge with a receipt to the Dean, specifically:

1. six copies, if the dissertation is released as an independent publication in the bookshops. In this case the imprint shall indicate the publication as a dissertation at the University of Erfurt
2. four offprints or a digital copy, if the dissertation is published as a journal article or rather articles, plus two hardback typewritten copies of the dissertation or
3. as an electronic publication by the Erfurt University Library as the open access publication service provider of the University of Erfurt, plus two hardback typewritten copies of the dissertation.

The typewritten copies to be submitted shall be printed on wood- and acid-free permanent paper as durable hardback copies, and be composed according to Appendix 2. The Dean may extend the submission deadline upon request.

(2) The doctoral candidate shall submit to the Chairperson of the Doctoral Affairs Committee a written confirmation by a reviewer that the content of the submitted manuscript corresponds to the accepted dissertation in consideration of the terms referred to in § 14 par. 3 cl. 1. The publication may be a condensed version of the dissertation, if it gives an appropriate account of the dissertation. This must be confirmed in written form by a reviewer according to cl. 1.

§ 19

Diploma

(1) For the doctoral degree awarded for successful completion of the doctoral examinations, a diploma (doctoral diploma) is issued with the date of the disputation. It contains the overall final grade and is signed by the President of the University and by the Dean. Its content is derived from Appendix 3. Upon request, the Dean may issue a certificate of the individual grades for the doctoral examinations.

(2) Upon request by the doctoral candidate, the Dean's office may issue a preliminary certification of the attainment of the doctoral degree. Its contents is derived from Appendix 4.

§ 20

Award of the doctoral degree

(1) The doctoral degree is awarded through the delivery of the doctoral diploma. The doctoral candidate may choose between receiving the diploma in person from the Dean or by mail. With the issuance of the diploma, the doctoral degree and doctoral procedure are completed.

(2) The doctoral degree shall be awarded once the Chairperson of the Examination Committee has confirmed in written form that the dissertation was revised as requested by the Examination Committee according to § 14 par. 3 cl. 1, and once confirmation of the dissertation's publication is presented. As such, a written confirmation by a publishing house or by the open access publishing service provider of the University of Erfurt to publish the dissertation is sufficient.

§ 21

Joint Doctoral Procedures with other Universities

(cooperative doctoral degree)

- (1) Joint doctoral procedures with other universities require a cooperation agreement for the procedure of a cooperative doctoral degree.
- (2) Within such a cooperation agreement, the disputation according to § 15 may be replaced with an oral examination at the partner university.
- (3) The Examination Commission under § 9 may be replaced with a joint Examination Committee, which shall be agreed upon by the Doctoral Affairs Committee and a corresponding body of the partner university. In this case, both institutions should be represented equally.

Part 2:

Honorary doctorate

§ 22

Honorary doctoral degrees

For achievements which are of outstanding significance for the law, the social sciences or economics, the University of Erfurt may award through the Faculty of Economics, Law and Social Sciences the degrees of an Honorary Doctor of Law (Dr. iur. h.c.), an Honorary Doctor of Social Sciences (Dr. rer. pol. h.c.), and an Honorary Doctor of Economics (Dr. rer. Pol. h.c.).

§ 23

Procedure

- (1) A nomination for awarding an honorary doctorate may be submitted by three professors/habilitated faculty members. It shall be directed at the Faculty Council through the Dean and be supported by a written justification.
- (2) The procedure is opened only if two thirds of Faculty Council members with voting power vote in favour.
- (3) When opening the procedure, the Faculty Council commissions two professors/habilitated faculty members to comment on the nomination. Both of them shall submit to the Faculty Council within three months a written evaluation on the scientific achievements of the person in question and recommend a decision. Both evaluations shall be forwarded by the Dean's office to the Faculty Council members as well as the university professors/habilitated members of the faculty.
- (4) The Dean offers the professors/habilitated members of the faculty the opportunity to submit statements. They may notify the Dean on whether they agree to the honorary doctorate, reject it or abstain from a statement. The Dean shall inform the Faculty Council on the result of the poll.
- (5) Every professor/habilitated member of the faculty is eligible to write and submit to the Faculty Council another evaluation on the nomination.
- (6) The Faculty Council decides on the nomination. The nomination is accepted, if two thirds of the Faculty Council members with voting power vote in favour of it.

§ 24

Diploma

For the honorary doctorate, a diploma signed by the President and the Dean is issued. Its content is derived from Appendix 5.

§ 25

Award

The honorary doctorate is awarded by the Dean presenting the diploma to the person to be honoured.

§ 26

Announcement

The Dean's Office informs the ministry responsible for higher education as well as all German universities and equivalent institutions of higher education about the honorary doctorate.

Part 3:

Final provisions

§ 27

Register of doctorates

- (1) The Dean's Office keeps a register in which all doctoral procedures are recorded.
- (2) For doctorates attained through doctoral examinations, the entry in the register includes: name and address of the doctoral candidate; date of admission as doctoral candidate; title of the dissertation; name of the supervisor; names of the reviewers; day of the disputation; day of the award of the doctoral degree.
- (3) For honorary doctorates, the entry in the register includes: name and address of the person to be honoured, names of the reviewers, day of the Faculty Council's decision in favour of the honorary doctorate; day of the award of the honorary doctoral degree.

§ 28

Entry into force and transitional rules

These doctoral degree regulations come into effect on the first day of the month following the announcement in the promulgation gazette of the University of Erfurt and apply to doctoral candidates admitted after they come into effect. Doctoral candidates who were admitted before these doctoral regulations come into effect may take their doctoral examinations under these doctoral regulations upon request.

The President
of the University of Erfurt

Appendix 1:

Requirements for the copies of the dissertation to be submitted as part of the doctoral examinations procedure in accordance with § 8 par. 1

The copy of the dissertation to be submitted as part of the doctoral examinations procedure according to § 8 par. 1 must fulfil the following requirements:

1. DIN A 4 format, typewritten, sufficient margin for corrections; 1.5-line spacing; font size 12 pt; bound/hardcover.
2. Title page:

<Title of the dissertation>

<First name and last name of the doctoral candidate>

Dissertation submitted in partial fulfilment of the requirements for the degree of
Doctor of Law (Dr. iur.) /
Doctor of Social Sciences (Dr. rer. pol.) /
Doctor of Economics (Dr. rer. pol.)
at the University of Erfurt, Faculty of Economics, Law and Social Sciences

Appendix 2:

Publication according to § 18 par. 1 no. 2 and 3

The copy of the dissertation intended for publication must satisfy the following requirements without prejudice to § 18:

1. DIN A 5 format; typewritten; double-sided copy; bound/hardcover
2. Title page:

- Front side:

<Title of the dissertation>

<First name and last name of the doctoral candidate>

Dissertation submitted in partial fulfilment of the requirements for the degree of
Doctor of Law (Dr. iur.) /
Doctor of Social Sciences (Dr. rer. pol.) /
Doctor of Economics (Dr. rer. pol.)
at the University of Erfurt, Faculty of Economics, Law and Social Sciences

<year>

- Back side:

Reviewer: < >

Reviewer: < >

Reviewer: < >

3. Last Page:

Curriculum vitae of the doctoral candidate (max. 1 page)

Appendix 3:

Doctoral Diploma (sample)

The University of Erfurt

awards through

the Faculty of Economics, Law and Social Sciences

<first name and last name>

born on <date of birth> in <place of birth>

the degree of Doctor of Law (Dr. iur.) /
Doctor of Social Sciences (Dr. rer. pol.) /
Doctor of Economics (Dr. rer. pol.).

He/she has demonstrated in a doctoral examinations procedure in accordance with the regulations the ability to
carry out in-depth scientific work through
the <grade> dissertation

<title of the dissertation>

and the <grade> disputation on <date of the disputation>

and is awarded the overall grade

<overall grade>.

<Official seal>

Erfurt, the <date of the disputation>

<Signature>

The President

Decree: Issued on: <>

<Signature>

The Dean

Prof. Dr. < name of the Dean>

Appendix 4:

Preliminary certification of the attainment of a doctoral degree (sample)

The University of Erfurt

certifies through

the Faculty of Economics, Law and Social Sciences that

<first name and last name>,

born on <date of birth> in <place of birth>,

is receiving their degree of Doctor of Law (Dr. iur.) / Doctor of Social Sciences (Dr. rer. pol.) / Doctor of Economics (Dr. rer. pol.). He/she has demonstrated the ability to carry out in-depth scientific work through the dissertation <name of the dissertation> and through the disputation on <date of the disputation>. The doctoral examinations have been evaluated with the grade <overall grade>. This certification does not authorise the use of the title of a doctor.

Erfurt, the <date of the defence of the dissertation>

Appendix 5:

Honorary doctoral diploma (sample)

The University of Erfurt

awards through

the Faculty of Economics, Law and Social Sciences

<first name and last name>,

born on <date of birth> in <place of birth>,

the honorary degree of Doctor of Law Honoris causa (Dr. iur. h.c.) /
Doctor of Social Sciences Honoris causa (Dr. rer. pol. h. c.) /
Doctor of Economics Honoris causa (Dr. rer. pol. h. c.)

The awardee has accomplished achievements of outstanding significance for the law, the social sciences or economics. <Sentence of justification>

<Official Seal>

Erfurt, the <date of the Faculty Council meeting>

<Signature>

The President

Decree: Issued on: <>

<Signature>

The Dean

Prof. Dr. <Name of the Dean>

Appendix 6:

Declaration

I hereby declare that I have produced the work presented without undue assistance of third parties and without using any resources other than those indicated; I have indicated all passages taken from external sources, either verbatim or in substance; when relying on my own preparatory work (publications and qualification works), I have referenced it. The work in parts or in full is part of the following doctoral examinations procedure: (delete if not applicable!)

In the selection and interpretation of the material as well as in writing the manuscript I was supported by the following persons:

1. ...
2. ...
3. ...

No other persons were involved in the intellectual production of the work presented. In particular, I have not had any assistance by a doctoral advisor. Third parties did not receive any direct or indirect payments or monetary benefits from me for work related to the content of the dissertation submitted.

The work presented has not been submitted to any other examination authority in Germany or abroad as a doctoral thesis, neither in the same nor in a similar form.

Place, Date _____

(Signature of the doctoral candidate)

Appendix 7:

Declaration in accordance with § 8 par. 1, 3rd indent (sample)

I hereby declare that the treatise was written with the following co-authors:

First name Last name (university): _____

First name Last name (university): _____

Or: I hereby declare that the paper "TITLE OF PAPER 1" was written with the following co-authors:

First name Last name (university): _____

First name Last name (university): _____

and the paper "TITLE OF PAPER 2" was written with the following co-authors:

First name Last name (university): _____

First name Last name (university): _____

Place, date _____

(Signature of the doctoral candidate)

Hereby the above-mentioned co-authors confirm that the following sections from the treatise or the above-mentioned papers have been completed independently by "NAME OF THE DOCTORAL CANDIDATE":

- Section "if applicable, TITLE OF THE SECTION" from p. X – p. Y.

- Section "if applicable, TITLE OF THE SECTION" from p. X – p. Y.

- Section "if applicable, TITLE OF THE SECTION" from p. X – p. Y.

Place, date _____

(Signature of the co-author)

Place, date _____

(Signature of the co-author)

Appendix 8:

Declaration in accordance with § 8 par. 1, 4th indent (sample)

I hereby declare that the dissertation in the current or in a similar version or a part thereof has not been submitted to any other university or equivalent institution of higher education for the attainment of a doctoral degree, and

- that the dissertation or parts thereof have not already been the subject of another examination procedure.
- that the dissertation or the parts thereof listed below were part of the following examination procedure.
(Please tick the box where applicable!)

Place, date _____
(Signature of the doctoral candidate)